









COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/977,254

10/16/2001

Geoffrey Alan Ozin

13786

CONFIRMATION NO. 2248

FORMALITIES LETTER

OC00000007133354

DOWELL & DOWELL, P.C. Ralph A. Dowell Suite 309 1215 Jefferson Davis Highway Arlington, VA 22202

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1233.
 - \$729 for 81 total claims over 20.
 - \$504 for 12 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

. The balance due by applicant is \$ 1668.

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DEC 0 6 2000 E UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: Ozin et al.
: 09/977,254

Filed

i 10/16/2000

Title :

METHOD OF SELF-ASSEMBLY AND OPTICAL APPLICATIONS OF CRYSTALLINE COLLOIDAL

PATTERNS ON SUBSTRATÉS

Grp./A.U.

1772

Examiner

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Docket No.

13786

Honorable Commissioner of Patents Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED

Submitted herewith is a copy of FORM PTO-1533 together with a Declaration and Power of Attorney signed by the inventors.

A check in the amount of \$1,668.00 is also enclosed, which includes the government filing fee of \$370.00, \$65.00 for the late filing of the signed declaration, \$729.00 for 81 claims over 20 and \$504.00 for 12 independent claims over 3. Any deficiencies in the fees may be charged to Deposit Account No. 04-1577.

Also please note that a typographical error was discovered on the official filing receipt. Please change the inventor's name from Hernan Fernandez to <u>Hernan Miguez</u>, as listed on the attached executed Declaration and Power of Attorney.

It is now believed that the application should be ready for normal processing. In the event any additional information is required by the Application Branch it would be appreciated if they would contact the undersigned attorney of record at the telephone number shown below.

Respectfully submitted,

DOWELL & DOWELL, P. C.

Ralph A. Dowell

Registration No. 26,868 Date: <u>December 6, 2001</u>

DOWELL & DOWELL, P. C. 1215 Jefferson Davis Highway Suite 309 Arlington, Virginia 22202 Telephone: (703) 415-2555